Executive Branch Personnel
Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Price, Thomas E

Secretary, Department of Health & Human Services

Other Federal Government Positions Held During the Preceding 12 Months:

Member of Congress - GA06 (1/2005 - Present)

Names of Congressional Committees Considering Nomination:

- **Committee on Finance**

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Price, Thomas E [electronically signed on 12/15/2016 by Price, Thomas E in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ Fischmann, Elizabeth, Certifying Official [electronically signed on 01/11/2017 by Fischmann, Elizabeth in Integrity.gov]

Other review conducted by
/s/ Olesh, Stanley, Ethics Official [electronically signed on 01/11/2017 by Olesh, Stanley in Integrity.gov]

U.S. Office of Government Ethics Certification
/s/ Shaub, Walter M, Certifying Official [electronically signed on 01/11/2017 by Shaub, Walter M in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	American Medical Association	Chicago, Illinois	Non-Profit	Delegate	1/2005	Present
2	Chattahoochee Associates LP	Atlanta, Georgia	Limited Partnership	Managing and Limited Partner	11/1993	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Chattahoochee Associates LP	No	$100,001 - $250,000		None (or less than $201)
1.1	Medical Office Building - Roswell, GA	N/A			
2	Thomas Price Fidelity IRA	No			
2.1	BlackRock Strategic Income Opportunities Institutional Shares (BSIIX)	Yes	$15,001 - $50,000		$1,001 - $2,500
2.2	Brandes Intl Small Cap Equity I (BISMX)	Yes	$15,001 - $50,000		$1,001 - $2,500
2.3	Calamos Convertible I (CICVX)	Yes	$50,001 - $100,000		$5,001 - $15,000
2.4	Cohen & Steers Realty Instl (CSRIX)	Yes	$15,001 - $50,000		$5,001 - $15,000
2.5	Credit Suisse Commodity Return Strategy I (CRSOX)	Yes	$50,001 - $100,000		None (or less than $201)
2.6	Fidelity Government Cash Reserves (FDRXX)	Yes	$15,001 - $50,000		None (or less than $201)
2.7	Fidelity Advisor Diversified Intl Instl (FDVIX)	Yes	$15,001 - $50,000		None (or less than $201)
2.8	First Eagle Overseas I (SGOIX)	Yes	$50,001 - $100,000		$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.9	Goldman Sachs Strategic Income Instl (GSZIX)	Yes	$15,001 - $50,000		$1,001 - $2,500
2.10	iShares Russell 2000 Growth (IWO)	Yes	$1,001 - $15,000		$1,001 - $2,500
2.11	iShares Russell 2000 Value Index (IWN)	Yes	$15,001 - $50,000		$2,501 - $5,000
2.12	JPMorgan Research Market Neutral Instl (JPMNX)	Yes	$15,001 - $50,000		None (or less than $201)
2.13	Merger Fund Instl (MERIX)	Yes	$15,001 - $50,000		$201 - $1,000
2.14	MFS Value I (MEIIX)	Yes	$50,001 - $100,000		$5,001 - $15,000
2.15	Miller Convertible Bond Fund Class I (MCIFX)	Yes	$100,001 - $250,000		$5,001 - $15,000
2.16	Oppenheimer Developing Markets I (ODVIX)	Yes	$50,001 - $100,000		$201 - $1,000
2.17	PIMCO Low Duration Instl (PTLDX)	Yes	$1,001 - $15,000		None (or less than $201)
2.18	RiverPark Wedgewood Instl (RWGIX)	Yes	$50,001 - $100,000		$1,001 - $2,500
2.19	Vanguard REIT Index ETF (VNQ)	Yes	$1,001 - $15,000		$2,501 - $5,000
2.20	Vanguard Global ex-US Real Estate Index (VNQI)	Yes	$50,001 - $100,000		$2,501 - $5,000
2.21	Vanguard Growth ETF (VUG)	Yes	$100,001 - $250,000		$5,001 - $15,000
2.22	Vanguard Value (VTV)	Yes	$50,001 - $100,000		$5,001 - $15,000
2.23	Victory Global Natural Resources Y (RSNYX)	Yes	$1,001 - $15,000		None (or less than $201)
2.24	Victory RS Select Growth Y (RSSYX)	Yes	$15,001 - $50,000		$2,501 - $5,000
2.25	Westcore Plus Bond Instl (WIIBX)	Yes	$15,001 - $50,000		$1,001 - $2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.26	Westcore SmallCap Value Instl (WISVX)	Yes	$50,001 - $100,000		$5,001 - $15,000
2.27	Wells Fargo Absolute Return Instl (WABIX)	Yes	$100,001 - $250,000		$1,001 - $2,500
2.28	WisdomTree Emerging Mkts Small Cap Div (DGS)	Yes	$50,001 - $100,000		$2,501 - $5,000
2.29	MFS Value Class A	Yes	None (or less than $1,001)		$2,501 - $5,000
2.30	Wells Fargo Short Duration Government Bond Fund - Admin	Yes	None (or less than $1,001)		$201 - $1,000
3	Emory University Cash Balance Pension Plan	No	$1,001 - $15,000		None (or less than $201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Emory University	Atlanta, Georgia	Continued participation in cash balance pension plan. No further contributions by employer or filer.	8/2002

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

None

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	State of Georgia	N/A		Salary	
2	City of Roswell	N/A		Salary	

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3	Fidelity IRA	No			
3.1	Fidelity Total Bond (FTBFX)	Yes	$1,001 - $15,000		$201 - $1,000
3.2	Cohen & Steers Realty Instl (CSRIX)	Yes	$1,001 - $15,000		$1,001 - $2,500
3.3	Credit Suisse Commodity Return Strategy I (CRSOX)	Yes	$1,001 - $15,000		None (or less than $201)
3.4	Fidelity Government Cash Reserves (FDRXX)	Yes	$1,001 - $15,000		None (or less than $201)
3.5	Fidelity Advisor Diversified Intl Instl (FDVIX)	Yes	$1,001 - $15,000		None (or less than $201)
3.6	First Eagle Overseas I (SGOIX)	Yes	$1,001 - $15,000		None (or less than $201)
3.7	JP Morgan Research Market Neutral Fund Class L (JPMNX)	Yes	$1,001 - $15,000		None (or less than $201)
3.8	Merger Fund Instl (MERIX)	Yes	$1,001 - $15,000		None (or less than $201)
3.9	MFS Value I (MEIIX)	Yes	$1,001 - $15,000		None (or less than $201)
3.10	Oppenheimer Developing Markets I (ODVIX)	Yes	$1,001 - $15,000		None (or less than $201)
3.11	Victory Global Natural Resources Y (RSNYX)	Yes	$1,001 - $15,000		None (or less than $201)
3.12	Victory RS Select Growth Y (RSSYX)	Yes	$1,001 - $15,000		None (or less than $201)
3.13	Westcore SmallCap Value Instl (WISVX)	Yes	$1,001 - $15,000		$201 - $1,000
3.14	Wells Fargo Absolute Return Instl (WABIX)	Yes	$1,001 - $15,000		None (or less than $201)
4	Fidelity IRA Rollover	No			
4.1	BlackRock Strategic Income Opportunities Portfolio Institutional Shares (BSIIX)	Yes	$1,001 - $15,000		$201 - $1,000
4.2	Credit Suisse Commodity Return Strategy I (CRSOX)	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.3	Fidelity Government Cash Reserves (FDRXX)	Yes	$1,001 - $15,000		None (or less than $201)
4.4	Fidelity Advisor Diversified Intl Instl (FDVIX)	Yes	$1,001 - $15,000		None (or less than $201)
4.5	Fidelity Total Bond (FTBFX)	Yes	$50,001 - $100,000		$2,501 - $5,000
4.6	First Eagle Overseas I (SGOIX)	Yes	$1,001 - $15,000		None (or less than $201)
4.7	Goldman Sachs Strategic Income Instl (GSZIX)	Yes	$1,001 - $15,000		$201 - $1,000
4.8	JP Morgan Research Market Neutral Fund Class L (JPMNX)	Yes	$1,001 - $15,000		None (or less than $201)
4.9	Merger Fund Instl (MERIX)	Yes	$1,001 - $15,000		None (or less than $201)
4.10	MFS Value I (MEIIX)	Yes	$1,001 - $15,000		$201 - $1,000
4.11	Oppenheimer Developing Markets I (ODVIX)	Yes	$1,001 - $15,000		None (or less than $201)
4.12	RiverPark Wedgewood Instl (RWGIX)	Yes	$1,001 - $15,000		None (or less than $201)
4.13	Victory RS Select Growth Y (RSSYX)	Yes	$1,001 - $15,000		None (or less than $201)
4.14	Vanguard Global ex-US Real Estate Index (VNQI)	Yes	$1,001 - $15,000		$201 - $1,000
4.15	Westcore SmallCap Value Instl (WISVX)	Yes	$1,001 - $15,000		$201 - $1,000
4.16	Westcore Plus Bond Instl (WIIBX)	Yes	$15,001 - $50,000		$1,001 - $2,500
4.17	Cohen & Steers Realty (CSRSX)	Yes	None (or less than $1,001)		$201 - $1,000
5	Fidelity IRA SEP	No			
5.1	BlackRock Strategic Income Instl (BSIIX)	Yes	$50,001 - $100,000		$5,001 - $15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.2	Brandes Intl Small Cap Equity I (BISMX)	Yes	$15,001 - $50,000		None (or less than $201)
5.3	Cohen & Steers Realty Instl (CSRIX)	Yes	$15,001 - $50,000		$5,001 - $15,000
5.4	Credit Suisse Commodity Return Strategy I (CRSOX)	Yes	$15,001 - $50,000		None (or less than $201)
5.5	Fidelity Government Cash Reserves (FDRXX)	Yes	$1,001 - $15,000		None (or less than $201)
5.6	Fidelity Total Bond (FTBFX)	Yes	$50,001 - $100,000		$2,501 - $5,000
5.7	Fidelity Advisor Diversified Intl Instl (FDVIX)	Yes	$15,001 - $50,000		None (or less than $201)
5.8	First Eagle Overseas I (SGOIX)	Yes	$15,001 - $50,000		$201 - $1,000
5.9	Goldman Sachs Strategic Income Instl (GSZIX)	Yes	$50,001 - $100,000		$1,001 - $2,500
5.10	iShares Russell 2000 Growth (IWO)	Yes	$1,001 - $15,000		$1,001 - $2,500
5.11	iShares Russell 2000 Value Index (IWN)	Yes	$1,001 - $15,000		$2,501 - $5,000
5.12	JP Morgan Market Neutral Instl (JPMNX)	Yes	$15,001 - $50,000		None (or less than $201)
5.13	Merger Fund Instl (MERIX)	Yes	$15,001 - $50,000		$201 - $1,000
5.14	MFS Value I (MEIIX)	Yes	$50,001 - $100,000		$5,001 - $15,000
5.15	Oppenheimer Developing Markets I (ODVIX)	Yes	$50,001 - $100,000		$201 - $1,000
5.16	PIMCO Low Duration Instl (PTLDX)	Yes	$15,001 - $50,000		$201 - $1,000
5.17	RiverPark Wedgewood Instl (RWGIX)	Yes	$15,001 - $50,000		$1,001 - $2,500
5.18	Vanguard Global ex-US Real Estate Index (VNQI)	Yes	$15,001 - $50,000		$1,001 - $2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.19	Vanguard Growth (VUG)	Yes	$50,001 - $100,000		$2,501 - $5,000
5.20	Vanguard REIT Index ETF (VNQ)	Yes	$1,001 - $15,000		$2,501 - $5,000
5.21	Vanguard Value (VTV)	Yes	$50,001 - $100,000		$5,001 - $15,000
5.22	Victory Global Natural Resources Y (RSNYX)	Yes	$1,001 - $15,000		None (or less than $201)
5.23	Victory RS Select Growth Y (RSSYX)	Yes	$1,001 - $15,000		$1,001 - $2,500
5.24	Wells Fargo Adv Short Duration Govt Bond I (WSGIX)	Yes	$15,001 - $50,000		$1,001 - $2,500
5.25	Westcore Plus Bond Fund Instl (WIIBX)	Yes	$50,001 - $100,000		$2,501 - $5,000
5.26	Westcore SmallCap Value Dividend Fund Instl (WISVX)	Yes	$15,001 - $50,000		$2,501 - $5,000
5.27	WisdomTree Emerging Mkts Small Cap Divs (DGS)	Yes	$1,001 - $15,000		$201 - $1,000
5.28	Wells Fargo Absolute Return Instl (WABIX)	Yes	$50,001 - $100,000		$1,001 - $2,500
5.29	Brandes Intl Small Cap Equity A (BISAX)	Yes	None (or less than $1,001)		$201 - $1,000
5.30	Goldman Sachs Strategic Income Fund Class A (GSZAX)	Yes	None (or less than $1,001)		$2,501 - $5,000
5.31	iShares Russell 1000 Growth (IWF)	Yes	None (or less than $1,001)		$1,001 - $2,500
5.32	iShares Russell 1000 Value (IWD)	Yes	None (or less than $1,001)		$1,001 - $2,500
5.33	Pear Tree Polaris Foreign Value (QFVOX)	Yes	None (or less than $1,001)		$201 - $1,000
6	Chattahoochee Associates LP	N/A		Management Fees	

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. Bank Account #1 (Cash)	N/A	$100,001 - $250,000	Interest	$201 - $1,000
2	BB&T Stock	N/A	$1,001 - $15,000	Capital Gains Dividends	$1,001 - $2,500
3	U.S. Bank Account #2 (Cash)	N/A	$50,001 - $100,000		None (or less than $201)
4	Public Storage Stock	N/A	$50,001 - $100,000	Dividends Capital Gains	$2,501 - $5,000
5	U.S. Bank Account #3 (Cash)	N/A	$100,001 - $250,000		None (or less than $201)
6	Residential real estate, Washington, DC	N/A	$250,001 - $500,000	Rent or Royalties Dividends	$15,001 - $50,000
7	Undeveloped land, St. Simons, GA	N/A	Over $1,000,000		None (or less than $201)
8	Residential real estate, Nashville, TN	N/A	$100,001 - $250,000	Rent or Royalties	$5,001 - $15,000
9	U.S. Bank Account #4 (Cash)	N/A	$100,001 - $250,000	Interest	$201 - $1,000
10	Wells Fargo Joint Brokerage Account #1	No			
10.1	Wells Fargo Ultra Short-Term Income Fund - Class A (SADAX)	Yes	$500,001 - $1,000,000		$50,001 - $100,000
10.2	Wells Fargo Bank Deposit Account	N/A	$1,001 - $15,000		None (or less than $201)
10.3	Campbell Fund Trust Unit Series A	Yes	$50,001 - $100,000		None (or less than $201)
11	Wells Fargo Joint Brokerage Account #2	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.1	Wells Fargo Bank Deposit Account	N/A	$1,001 - $15,000		None (or less than $201)
11.2	First Trust Large Cap Core AlphaDEX Fund (FEX)	Yes	$15,001 - $50,000		$2,501 - $5,000
11.3	Guggenheim S&P 500 Equal Weight ETF (RSP)	Yes	None (or less than $1,001)		$5,001 - $15,000
11.4	Guggenheim Enhanced Short Duration ETF	Yes	$1,001 - $15,000		$1,001 - $2,500
11.5	iShares US Real Estate ETF	Yes	None (or less than $1,001)		$5,001 - $15,000
11.6	iShares Core S&P Total US Stock Market ETF	Yes	$15,001 - $50,000		$5,001 - $15,000
11.7	iShares Core S&P 500 ETF	Yes	$15,001 - $50,000		$2,501 - $5,000
11.8	iShares Core US Aggregate Bond ETF	Yes	$1,001 - $15,000		$201 - $1,000
11.9	iShares MSCI EAFE Index	Yes	None (or less than $1,001)		$5,001 - $15,000
11.10	iShares MSCI Minimum Volatility USA ETF	Yes	$15,001 - $50,000		$1,001 - $2,500
11.11	iShares Short Maturity Bond ETF	Yes	None (or less than $1,001)		$2,501 - $5,000
11.12	Powershares QQQ Trust Series 1 ETF	Yes	$1,001 - $15,000		$5,001 - $15,000
11.13	SPDR Bloomberg Barclays Short Term High Yield Bond ETF	Yes	$1,001 - $15,000		$1,001 - $2,500
11.14	SPDR Blackstone/GSO Senior Loan ETF	Yes	$1,001 - $15,000		$201 - $1,000
11.15	SPDR S&P Midcap 400 Trust Series N ETF	Yes	None (or less than $1,001)		$5,001 - $15,000
11.16	Guggenheim CurrencyShares Euro Trust Fund (FXE)	Yes	$1,001 - $15,000		None (or less than $201)
11.17	iShares iBoxx High Yield Corporate Bond ETF	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.18	iShares MSCI Emerging Markets ETF	Yes	$1,001 - $15,000		None (or less than $201)
11.19	JPMorgan Diversified Return Intl Eq ETF	Yes	$1,001 - $15,000		None (or less than $201)
11.20	PowerShares DB Agriculture Fund	Yes	None (or less than $1,001)		$5,001 - $15,000
11.21	PowerShares DB Base Metals Funds (DBB)	Yes	$1,001 - $15,000		$201 - $1,000
11.22	WisdomTree Bloomberg USD Bullish Fund	Yes	$1,001 - $15,000		$201 - $1,000
12	U.S. Bank Account #5 (Cash)	N/A	$100,001 - $250,000		None (or less than $201)
13	Fidelity Individual Brokerage Account	No			
13.1	Fidelity Government Cash Reserves (FDRXX)	Yes	$1,001 - $15,000		None (or less than $201)
13.2	iShares Russell 1000 Growth (IWF)	Yes	$15,001 - $50,000		$2,501 - $5,000
13.3	iShares Russell 1000 Value (IWD)	Yes	$50,001 - $100,000		$15,001 - $50,000
13.4	Merger Fund Inst (MERIX)	Yes	$1,001 - $15,000		None (or less than $201)
13.5	Vanguard REIT Index ETF (VNQ)	Yes	$1,001 - $15,000		$201 - $1,000
13.6	Victory Global Natural Resources Y (RSNYX)	Yes	$1,001 - $15,000		None (or less than $201)
13.7	JP Morgan Market Neutral Instl (JPMNX)	Yes	$1,001 - $15,000		None (or less than $201)
13.8	Cohen & Steers Realty Inst (CSRIX)	Yes	$1,001 - $15,000		$1,001 - $2,500
13.9	MFS Value I (MEI)	Yes	$15,001 - $50,000		$2,501 - $5,000
13.10	Brandes Intl Small Cap Equity S	Yes	None (or less than $1,001)		$201 - $1,000
13.11	RiverPark Wedgewood Retail	Yes	None (or less than $1,001)		$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.12	Vanguard FTSE Developed Markets (VEA)	Yes	None (or less than $1,001)		$1,001 - $2,500
14	Morgan Stanley Brokerage Account	No			
14.1	Affiliated Mgrs Group Inc (AMG)	N/A	$1,001 - $15,000		None (or less than $201)
14.2	Apple Inc (AAPL)	N/A	$15,001 - $50,000	Dividends Capital Gains	$5,001 - $15,000
14.3	Calpine Corp New (CPN)	N/A	$1,001 - $15,000		None (or less than $201)
14.4	CBRE Group Inc. (CBG)	N/A	$1,001 - $15,000	Capital Gains	$201 - $1,000
14.5	Crown Castle Intl Corp New Com (CCI)	N/A	$1,001 - $15,000		None (or less than $201)
14.6	ARRIS International, plc (ARRS)	N/A	$1,001 - $15,000	Capital Gains	$201 - $1,000
14.7	Honeywell International (HON)	N/A	$1,001 - $15,000	Capital Gains Dividends	$1,001 - $2,500
14.8	Jazz Pharmaceuticals PLC (JAZZ)	N/A	$1,001 - $15,000		None (or less than $201)
14.9	JPMorgan Chase & Co (JPM)	N/A	$15,001 - $50,000	Dividends Capital Gains	$5,001 - $15,000
14.10	Signet Jewelers Limited (SIG)	N/A	$1,001 - $15,000	Dividends Capital Gains	$201 - $1,000
14.11	Starbucks Corp Washington (SBUX)	N/A	$1,001 - $15,000	Dividends Capital Gains	$201 - $1,000
14.12	AETNA INC (AET)	N/A	$1,001 - $15,000	Capital Gains Dividends	$1,001 - $2,500
14.13	ALPHABET INC CLASS A (GOOGL)	N/A	$1,001 - $15,000	Dividends Capital Gains	$201 - $1,000
14.14	AMGEN INC (AMGN)	N/A	$1,001 - $15,000	Dividends Capital Gains	None (or less than $201)
14.15	ATHENA HEALTH INC (ATHN)	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.16	BANK OF AMERICA CORP (BAC)	N/A	$1,001 - $15,000		None (or less than $201)
14.17	BIOGEN INC (BIIB)	N/A	$1,001 - $15,000	Capital Gains	$201 - $1,000
14.18	BLACKROCK INC (BLK)	N/A	$1,001 - $15,000	Dividends Capital Gains	$201 - $1,000
14.19	BOEING CO (BA)	N/A	$1,001 - $15,000	Dividends Capital Gains	$201 - $1,000
14.20	BRISTOL MYERS SQUIBB CO (BMY)	N/A	$1,001 - $15,000		None (or less than $201)
14.21	AMERICAN TOWER REIT (AMT)	N/A	$1,001 - $15,000		None (or less than $201)
14.22	CBS CORP CLASS B SHARES (CBS)	N/A	$1,001 - $15,000	Dividends Capital Gains	$201 - $1,000
14.23	CVS HEALTH CORP (CVS)	N/A	$1,001 - $15,000		None (or less than $201)
14.24	CAPITAL ONE FINANCIAL CORP (COF)	N/A	$1,001 - $15,000	Dividends Capital Gains	$1,001 - $2,500
14.25	COGNIZANT TECH SOLUTIONS CLASS A (CTSH)	N/A	$1,001 - $15,000		None (or less than $201)
14.26	DELTA AIR LINES INC (DAL)	N/A	$1,001 - $15,000	Dividends Capital Gains	$201 - $1,000
14.27	DISCOVER FINANCIAL SERVICES (DFS)	N/A	$1,001 - $15,000	Dividends Capital Gains	$2,501 - $5,000
14.28	FIFTH THIRD BANCORP (FITB)	N/A	$1,001 - $15,000	Dividends Capital Gains	$1,001 - $2,500
14.29	GOLDMAN SACHS GROUP INC (GS)	N/A	$1,001 - $15,000	Dividends Capital Gains	$2,501 - $5,000
14.30	HP INC (HPQ)	N/A	$1,001 - $15,000	Dividends Capital Gains	$1,001 - $2,500
14.31	KANSAS CITY SOUTHERN INDUSTRIES (KSU)	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.32	L BRANDS INC (LB)	N/A	$1,001 - $15,000		None (or less than $201)
14.33	LEARCORP (LEA)	N/A	$1,001 - $15,000	Capital Gains Dividends	$201 - $1,000
14.34	ELI LILLY & CO (LLY)	N/A	$1,001 - $15,000		None (or less than $201)
14.35	LOWES COMPANIES INC (LOW)	N/A	$1,001 - $15,000	Dividends Capital Gains	$201 - $1,000
14.36	MASTERCARD INC CLASS A (MA)	N/A	$1,001 - $15,000	Dividends Capital Gains	$1,001 - $2,500
14.37	MCKESSON CORPORATION (MCK)	N/A	$1,001 - $15,000		None (or less than $201)
14.38	NEXTERA ENERGY INC (NEE)	N/A	$1,001 - $15,000		None (or less than $201)
14.39	NIKE INC CLASS B (NKE)	N/A	$1,001 - $15,000		None (or less than $201)
14.40	NORTHRUP GRUMAN (NOC)	N/A	$1,001 - $15,000	Dividends Capital Gains	$1,001 - $2,500
14.41	PG&E CORPORATION (PCG)	N/A	$1,001 - $15,000		None (or less than $201)
14.42	PFIZER INC (PFE)	N/A	$1,001 - $15,000		None (or less than $201)
14.43	ROSS STORES INC (ROST)	N/A	$1,001 - $15,000	Capital Gains Dividends	$1,001 - $2,500
14.44	SEMPRA ENERGY (SRE)	N/A	$1,001 - $15,000		None (or less than $201)
14.45	SYNCHRONY FINANCIAL (SYF)	N/A	$1,001 - $15,000	Dividends Capital Gains	$2,501 - $5,000
14.46	UNITED TECHNOLOGIES CORP (UTX)	N/A	$1,001 - $15,000	Dividends Capital Gains	$201 - $1,000
14.47	VANTIV INC CLASS A (VNTV)	N/A	$1,001 - $15,000	Capital Gains	$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.48	VERIZON COMMUNICATIONS (VZ)	N/A	$1,001 - $15,000		None (or less than $201)
14.49	WHITEWAVE FOODS CORP CLASS A (WWAV)	N/A	$1,001 - $15,000	Capital Gains	$1,001 - $2,500
14.50	XEROX CORP (XRX)	N/A	$1,001 - $15,000		None (or less than $201)
14.51	ZIMMER BIOMET HOLDINGS INC (ZBH)	N/A	$1,001 - $15,000		None (or less than $201)
14.52	LYONDELL BASELL INDUSTRIES CLASS A (LYB)	N/A	$1,001 - $15,000		None (or less than $201)
14.53	POWERSHARES S&P 500 HIGH QUALITY (SPHQ)	Yes	$1,001 - $15,000		$201 - $1,000
14.54	Morgan Stanley Bank Deposit Account	N/A	$1,001 - $15,000	Interest	None (or less than $201)
14.55	AMAZON COM INC	N/A	$1,001 - $15,000	Capital Gains	$2,501 - $5,000
14.56	DANAHER CORPORATION	N/A	None (or less than $1,001)	Capital Gains Dividends	$1,001 - $2,500
14.57	ENERSYS	N/A	None (or less than $1,001)	Capital Gains Dividends	$201 - $1,000
14.58	FACEBOOK INC CLASS A	N/A	None (or less than $1,001)	Capital Gains	$2,501 - $5,000
14.59	FOOT LOCKER INC	N/A	None (or less than $1,001)	Capital Gains Dividends	$1,001 - $2,500
14.60	Guggenheim S&P 500 Equal Weight ETF	Yes	None (or less than $1,001)		$201 - $1,000
14.61	iShares S&P 500 Growth ETF	Yes	None (or less than $1,001)		$201 - $1,000
14.62	Qihoo 360 Tech Ltd Ads	Yes	None (or less than $1,001)		$2,501 - $5,000
14.63	UNION PACIFIC CORP	N/A	None (or less than $1,001)	Capital Gains Dividends	$201 - $1,000
14.64	THERMO FISHER SCIENTIFIC	N/A	None (or less than $1,001)	Capital Gains Dividends	$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.65	UNITED RENTALS INC	N/A	None (or less than $1,001)	Capital Gains	$201 - $1,000
14.66	VISA INC CLASS A	N/A	None (or less than $1,001)	Capital Gains Dividends	$2,501 - $5,000
14.67	XL GROUP PLC	N/A	None (or less than $1,001)	Capital Gains Dividends	$201 - $1,000
14.68	Visteon Corp (VC)	N/A	None (or less than $1,001)	Dividends	$1,001 - $2,500
15	Morgan Stanley Brokerage Account #2	No			
15.1	Innate Immunotherapeutics Ltd. (INNMF)	N/A	$15,001 - $50,000	Capital Gains Dividends	$50,001 - $100,000
16	Fidelity Joint Brokerage Account #1	No			
16.1	Brandes Intl Small Cap Equity I (BISMX)	Yes	$50,001 - $100,000		$5,001 - $15,000
16.2	Fidelity Government Cash Reserves (FDRXX)	Yes	$15,001 - $50,000		None (or less than $201)
16.3	iShares Russell 1000 Growth (IWF)	Yes	$15,001 - $50,000		$1,001 - $2,500
16.4	iShares Russell 1000 Value (IWD)	Yes	$100,001 - $250,000		$15,001 - $50,000
16.5	iShares Russell 2000 Growth (IWO)	Yes	$15,001 - $50,000		$5,001 - $15,000
16.6	iShares Russell 2000 Value Index (IWN)	Yes	$50,001 - $100,000		$1,001 - $2,500
16.7	Lord Abbett Intermediate Tax-Free I (LAIIX)	Yes	$100,001 - $250,000		$5,001 - $15,000
16.8	Merger Fund Instl (MERIX)	Yes	$15,001 - $50,000		$201 - $1,000
16.9	Vanguard REIT Index ETF (VNQ)	Yes	$15,001 - $50,000		$1,001 - $2,500
16.10	iShares Core MSCI EAFE ETF (IEFA)	Yes	$100,001 - $250,000		$2,501 - $5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.11	Victory Global Natural Resources Y (RSNYX)	Yes	$50,001 - $100,000		$15,001 - $50,000
16.12	Victory RS Select Growth Y (RSSYX)	Yes	$50,001 - $100,000		None (or less than $201)
16.13	First Eagle Overseas I (SGOIX)	Yes	$50,001 - $100,000		$201 - $1,000
16.14	Westcore SmallCap Value Inst. (WISVX)	Yes	$100,001 - $250,000		$15,001 - $50,000
16.15	RiverPark Wedgewood Inst (RWGIX)	Yes	$50,001 - $100,000		$1,001 - $2,500
16.16	Cohen & Steers Realty Inst (CSRIX)	Yes	$15,001 - $50,000		$2,501 - $5,000
16.17	MFS Value I (MEIIX)	Yes	$100,001 - $250,000		$5,001 - $15,000
16.18	Oppenheimer Developing Markets I (ODVIX)	Yes	$50,001 - $100,000		$201 - $1,000
16.19	Credit Suisse Commodity Return Strategy I (CRSOX)	Yes	$50,001 - $100,000		None (or less than $201)
16.20	Fidelity Advisor Diversified Intl Instl (FDVIX)	Yes	$50,001 - $100,000		$2,501 - $5,000
16.21	Home Depot Inc. Stock (HD)	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
16.22	Wells Fargo Absolute Return Instl (WABIX)	Yes	$15,001 - $50,000		$201 - $1,000
16.23	Pear Tree Polaris Foreign Value (QFVOX)	Yes	None (or less than $1,001)		$201 - $1,000
16.24	Brandes Intl Small Cap Equity S (BISAX)	Yes	None (or less than $1,001)		$1,001 - $2,500
16.25	iPath Dow Jones-UBS Commodity Index Total Return ETN (DJP)	Yes	None (or less than $1,001)		$1,001 - $2,500
16.26	Vanguard FTSE Developed Markets (VEA)	Yes	None (or less than $1,001)		$2,501 - $5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.27	iShares S&P 500 Value (IVE)	Yes	None (or less than $1,001)		$5,001 - $15,000
17	Fidelity Joint Brokerage Account #2	No			
17.1	Fidelity Cash Fund	N/A	$1,001 - $15,000	Interest	None (or less than $201)
17.2	Arch Capital Group Ltd. Stock (ACGL)	N/A	$1,001 - $15,000		None (or less than $201)
18	Fidelity Annuity #1 (Variable)	No			
18.1	American Century VP Inflation Protection Fund (AIPTX)	Yes	$50,001 - $100,000		$201 - $1,000
18.2	Franklin Small Cap Value C2	Yes	$15,001 - $50,000		$201 - $1,000
18.3	Invesco VI Small Cap Equity	Yes	$1,001 - $15,000		None (or less than $201)
18.4	IVY VIP High Income Fund	Yes	$15,001 - $50,000		$201 - $1,000
18.5	Ivy VIP International Core Equity	Yes	$50,001 - $100,000		$201 - $1,000
18.6	Janus Aspen Perkins Mid Cap Value	Yes	$15,001 - $50,000		$201 - $1,000
18.7	Janus Aspen Overseas	Yes	$15,001 - $50,000		$1,001 - $2,500
18.8	Morgan Stanley UIF Emerging Markets Equity	Yes	$1,001 - $15,000		$201 - $1,000
18.9	Securian Funds Trust - SFT Ivy Growth	Yes	$50,001 - $100,000		$2,501 - $5,000
18.10	Securian Funds Trust - SFT T. Rowe Price Value	Yes	$100,001 - $250,000		$1,001 - $2,500
18.11	Securian Funds Trust - SFT Advantus Bond C2	Yes	$100,001 - $250,000		None (or less than $201)
18.12	Securian Funds Trust - SFT Advantus Index 400 Midcap	Yes	$15,001 - $50,000		$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18.13	Securian Funds Trust - SFT Advantus International Bond C2	Yes	$15,001 - $50,000		None (or less than $201)
18.14	Securian Funds Trust - SFT Advantus Money Market	Yes	$1,001 - $15,000		None (or less than $201)
18.15	Securian Funds Trust - SFT Advantus Mortgage Securities C2	Yes	$15,001 - $50,000		None (or less than $201)
18.16	Securian Funds Trust - SFT Advantus Real Estate Securities C2	Yes	$15,001 - $50,000		None (or less than $201)
19	Fidelity Annuity #2 (Variable)	No			
19.1	American Century VP Inflation Protection Fund (AIPTX)	Yes	$50,001 - $100,000		None (or less than $201)
19.2	Franklin Small Cap Value C2	Yes	$15,001 - $50,000		$1,001 - $2,500
19.3	Invesco VI Small Cap Equity	Yes	$15,001 - $50,000		$201 - $1,000
19.4	IVY VIP High Income Fund	Yes	$15,001 - $50,000		$1,001 - $2,500
19.5	Ivy VIP International Core Equity	Yes	$50,001 - $100,000		$5,001 - $15,000
19.6	Janus Aspen Perkins Mid Cap Value	Yes	$15,001 - $50,000		None (or less than $201)
19.7	Janus Aspen Overseas	Yes	$15,001 - $50,000		$5,001 - $15,000
19.8	Morgan Stanley UIF Emerging Markets Equity	Yes	$1,001 - $15,000		$1,001 - $2,500
19.9	Securian Funds Trust - SFT Ivy Growth	Yes	$50,001 - $100,000		None (or less than $201)
19.10	Securian Funds Trust - SFT T. Rowe Price Value	Yes	$100,001 - $250,000		None (or less than $201)
19.11	Securian Funds Trust - SFT Advantus Bond C2	Yes	$100,001 - $250,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
19.12	Securian Funds Trust - SFT Advantus International Bond C2	Yes	$15,001 - $50,000		None (or less than $201)
19.13	Securian Funds Trust - SFT Advantus Money Market	Yes	$1,001 - $15,000		None (or less than $201)
19.14	Securian Funds Trust - SFT Advantus Mortgage Securities C2	Yes	$15,001 - $50,000		None (or less than $201)
19.15	Securian Funds Trust - SFT Advantus Real Estate Securities C2	Yes	$15,001 - $50,000		None (or less than $201)
20	Minnesota Life Insurance Indexed Universal Life Insurance Policy #1	No			
20.1	Index A S&P 500 100% Participation	N/A	$100,001 - $250,000		None (or less than $201)
21	Minnesota Life Insurance Policy Indexed Universal Life Insurance Policy #2	No			None (or less than $201)
21.1	Index B S&P 500 140% Participation	N/A	$100,001 - $250,000		None (or less than $201)
21.2	IUL Cash Value Fixed Account A	N/A	$1,001 - $15,000		None (or less than $201)
22	Nebraska Educational Savings Trust Advisor 529 Plan	No			
22.1	State Street S&P 500 Index	Yes	$15,001 - $50,000		$1,001 - $2,500
22.2	Vanguard Extended Market Index (VEMPX)	Yes	$1,001 - $15,000		$201 - $1,000
22.3	Vanguard REIT Index (VGSNX)	Yes	$1,001 - $15,000		None (or less than $201)
22.4	State Street MSCI ACWI ex USA Index	Yes	$1,001 - $15,000		None (or less than $201)
22.5	DFA World Ex US Government Fixed Income (DWFIX)	Yes	$1,001 - $15,000		None (or less than $201)
22.6	Vanguard Total Bond Market Index (VBMPX)	Yes	$15,001 - $50,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
22.7	Vanguard Short Term Bond Index (VBIPX)	Yes	$1,001 - $15,000		None (or less than $201)
22.8	Goldman Sachs Financial Square Government Money Market (FGTXX)	Yes	$1,001 - $15,000		$1,001 - $2,500
23	Nebraska Educational Savings Trust Advisor 529 Plan #2	No			
23.1	State Street S&P 500 Index	Yes	$1,001 - $15,000		$201 - $1,000
23.2	State Street MSCI ACWI ex USA Index	Yes	$1,001 - $15,000		None (or less than $201)
23.3	Vanguard Total Bond Market Index (VBMPX)	Yes	$1,001 - $15,000		None (or less than $201)
23.4	Vanguard Short Term Bond Index (VBIPX)	Yes	$1,001 - $15,000		None (or less than $201)
24	Minnesota Life Insurance Variable Life Insurance Policy	No			
24.1	Ivy VIP Global Growth	Yes	$1,001 - $15,000		None (or less than $201)
24.2	Ivy VIP Intl Core Equity	Yes	$1,001 - $15,000		None (or less than $201)
24.3	Ivy VIP Small Cap Value	Yes	$1,001 - $15,000		$201 - $1,000
24.4	Janus Aspen Forty	Yes	$1,001 - $15,000		$201 - $1,000
24.5	Securian Funds Trust - SFT Advantus Bond C2	Yes	$1,001 - $15,000		None (or less than $201)
24.6	Securian Funds Trust - SFT Advantus Govt Money Market	Yes	$15,001 - $50,000		None (or less than $201)
24.7	Securian Funds Trust - SFT Advantus Mortgage Sec C2	Yes	$1,001 - $15,000		None (or less than $201)
24.8	Securian Funds Trust - SFT Ivy Small Cap Growth Fund	Yes	$1,001 - $15,000		$201 - $1,000
24.9	Securian Funds Trust - SFT T. Rowe Price Value Fund	Yes	$1,001 - $15,000		$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
24.10	Templeton Developing Markets VIP	Yes	$1,001 - $15,000		None (or less than $201)
25	Carolina Properties, Ltd.	No	$50,001 - $100,000	Interest Rent or Royalties	$2,501 - $5,000
25.1	Apartment Buildings - Wytheville, VA, Oxford & Edenton, NC, and Hartsville & Newbury, SC	N/A			
26	Diagnostic Ventures of Roswell, LLC	No	$1,000,001 - $5,000,000	LLC Member/Partner Income/Distribution	$132,716
26.1	Medical Office Building - Roswell, GA	N/A			
27	RMC3, LLC	No	$250,001 - $500,000	LLC Member/Partner Income/Distribution	$26,367
27.1	Diagnostic Ventures of Roswell, LLC	No			
27.1.1	Medical Office Building - Roswell, GA	N/A			

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

None

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than $1,000 or (2) produced more than $200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than $1,000 or (2) produced more than $200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than $1,000 or (2) produced more than $200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $150 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

February 7, 2017

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Associate General Counsel for Ethics
Designated Agency Ethics Official
U.S. Department of Health and Human Services
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200 Independence Avenue, SW
Washington, DC 20201

Dear Ms. Fischmann:

The purposes of this letter are to amend the financial disclosure report that I signed on December 15, 2016, and to supplement the ethics agreement that I signed on January 11, 2017.

A) Financial Disclosure Report

To correct inadvertent errors in my December 15, 2016, financial disclosure report, the items identified below are amended, as follows:

Part 1

#	Organization Name	City/State	Organization Type	Position Held	From	To
2	Chattahoochee Associates	Atlanta, Georgia	General Partnership	Managing and General Partner	11/1993	Present

Part 2

#	Description	EIF	Value	Income Type	Income Amount
1	Chattahoochee Associates	no	$100,001-$250,000		None (or less than $201)

Part 6

#	Description	EIF	Value	Income Type	Income Amount
14.55	Amazon Com Inc	n/a	None (or less than $1,001)	Capital Gains	$2,501-$5,000
15.1	Innate Immunotherapeutics Ltd. (INNMF)	n/a	$15,001-$50,000		None (or less than $201)

To correct an inadvertent error in my December 15, 2016, financial disclosure report, the following item is added to that financial disclosure report:

Part 6

#	Description	EIF	Value	Income Type	Income Amount
28	Innate Immunotherapeutics Ltd. (INNMF)	n/a	$100,001-$250,000		None (or less than $201)

With regard to the assets disclosed in my December 15, 2016, financial disclosure report other than those listed above, the U.S. Office of Government Ethics has asked me to confirm that I disclosed the current value at the time of reporting. By this letter, I am confirming that I used current value with regard to those assets. This letter makes no changes to the value categories disclosed in that financial disclosure report other than those indicated above.

B) Supplement to January 11, 2017, Ethics Agreement

The new item listed above (Innate Immunotherapeutics Ltd./$100,001-$250,000) is covered by the commitment I made in my January 11, 2017, ethics agreement to divest all interests in Innate Immunotherapeutics Ltd. within 90 days of confirmation. In addition, the following commitments supplement my ethics agreement dated January 11, 2017.

In February 2017, I resigned from my position as Managing and General Partner of Chattahoochee Associates and transferred my ownership interest to my spouse. I will not participate personally and substantially in any particular matter that to my knowledge has a direct and predictable effect on the financial interests of Chattahoochee Associates, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1).

If I have a managed account or otherwise use the services of an investment professional during my appointment, I will ensure that the account manager or investment professional obtains my prior approval on a case-by-case basis for the purchase of any assets other than cash, cash equivalents, investment funds that qualify for the exemption at 5 C.F.R. § 2640.201(a), obligations of the United States, or municipal bonds.

I understand that as an appointee I will be required to sign the Ethics Pledge (Exec. Order no. 13770) and that I will be bound by the requirements and restrictions therein in addition to the commitments I made in the ethics agreement I signed on January 11, 2017.

I have been advised that this supplement to my ethics agreement will be posted publicly, consistent with 5 U.S.C. § 552, on the website of the U.S. Office of Government Ethics with ethics agreements of other Presidential nominees who file public financial disclosure reports. I understand that this letter will also be released as an attachment to my public financial disclosure report.

Sincerely,

Thomas E. Price

Thomas E. Price, M.D.